

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 15609-018001	Application No. 10/632,675
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Frank Michael Kraft	
		Filing Date August 1, 2003	Group Art Unit 2142

### U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
untl	AA	6,212,653	4/2001	Boivin et al.	714	45	
untl	AB	6,952,660	10/2005	Matheson	703	1	
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

### Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

### Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

Examiner Signature <i>Walter Jan L.</i>	Date Considered 7/2/07
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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	Applicant Frank Michael Kraft		
	Filing Date August 1, 2003	Group Art Unit 2142	

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Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
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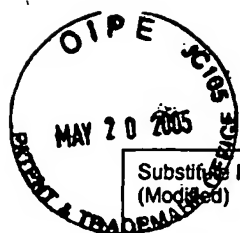
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							Yes No

**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
<i>WHL</i>	AA	Bosik, B.S., et al., "Finite State Machine Based Formal Methods in Protocol Conformance Testing: From Theory to Implementation," <u>Computer Networks and ISDN Systems</u> , North Holland Publishing, Amsterdam, NL, vol. 22, no. 1, 1 August 1991, pp. 7-33, XP00224436

Examiner Signature <i>Allen J. L.</i>	Date Considered <i>7/2/07</i>
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Sheet 1 of 2

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 15609-018001	Application No. 10/632,675
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		Filing Date August 1, 2003	Group Art Unit 2142

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
catl ↑     ↓ catl	AA	5974129	10/1999	Bodnar			
	AB	6415315	07/2002	Glass			
	AC	6442586	08/2002	Glass			
	AD	6564218	05/2003	Roth			
	AE	6631386	10/2003	Arun, et al.			
	AF	6738797	05/2004	Martin			
	AG	6754657	06/2004	Lomet			
	AH	6761636	07/2004	Chung, et al.			

Foreign Patent Documents or Published Foreign Patent Applications							
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							Yes No

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
catl ↑     ↓ catl	AI	"Analysis of Petri Net Performance Model," <a href="http://www.document.ic.ac.uk/~nd/surprise_95/journal/vol2/nr1/article2.html">http://www.document.ic.ac.uk/~nd/surprise_95/journal/vol2/nr1/article2.html</a> , printed from the Internet September 5, 2003, 2 pgs.
	AJ	Brink, Richard Scott, "A Petri Net Design, Simulation, and Verification Tool," Rochester Institute of Technology, September 1996, <a href="http://www.csh.rit.edu/~rick/thesis/document/PetriThesis.html">http://www.csh.rit.edu/~rick/thesis/document/PetriThesis.html</a> , printed from the Internet September 5, 2003, 47 pgs.
	AK	Carrier, J. Edward, "State Machines," February 2, 2001, <a href="http://design.stanford.edu/spdl/ee118/pdf_files/StateMachines.pdf">http://design.stanford.edu/spdl/ee118/pdf_files/StateMachines.pdf</a> , printed from the Internet September 5, 2003, 3 pgs.
	AL	"DotnetCoders - UML: Statechart Diagrams," copyright 2000-2003 .netCoders, <a href="http://www.dotnetcoders.com/web/learning/uml/diagrams/statechart.aspx">http://www.dotnetcoders.com/web/learning/uml/diagrams/statechart.aspx</a> , printed from the Internet December 10, 2003, 2 pgs.
	AM	"Finite State Machine - A WhatIs Definition," <a href="http://whatis.techtarget.com/definition/0,,sid9_gci213052,00.html">http://whatis.techtarget.com/definition/0,,sid9_gci213052,00.html</a> , printed from the Internet December 10, 2003, 4 pgs.
	AN	'Half.com: Apple iPod Mini - Questions & Answers' [online]. half.com by ebay, undated materials, [retrieved on 2005-03-07]. Retrieved from the Internet: <URL: <a href="http://half.ebay.com/cat/buy/ask_question.cgi?offer_id=1286774759&amp;meta_id=6&amp;domain_id=447">http://half.ebay.com/cat/buy/ask_question.cgi?offer_id=1286774759&amp;meta_id=6&amp;domain_id=447</a> >.
	AO	'Half.com: Apple iPod Mini - Seller's Description' [online]. half.com by ebay, undated materials, [retrieved on 2005-03-16]. Retrieved from the Internet: <URL: <a href="http://half.ebay.com/cat/buy/inv.cgi?offer_id=1286774759&amp;meta_id=6&amp;cpid=1202509734&amp;domain_id=447">http://half.ebay.com/cat/buy/inv.cgi?offer_id=1286774759&amp;meta_id=6&amp;cpid=1202509734&amp;domain_id=447</a> >.

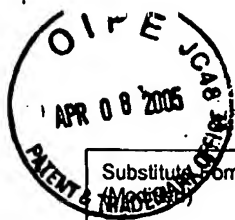
Examiner Signature <i>Wen Jan Li</i>	Date Considered 7/2/07
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Substitute Disclosure Form (PTO-1449)

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<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant <b>Frank Michael Kraft</b>	
		Filing Date August 1, 2003	Group Art Unit 2142

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
untf	AP	'The Next Evolution of E-Commerce - Tango Trade Press Release' [online]. Undated materials, [retrieved initially, in part, on 2005-03-07, then again in its entirety on 2005-04-28]. Retrieved from the Internet: <URL: <a href="http://www.p2p-zone.com/underground/showthread.php?s=&amp;threadid=16211">http://www.p2p-zone.com/underground/showthread.php?s=&amp;threadid=16211</a> >. See, e.g., pp. 10-11.
↑	AQ	'P2P Real Time Trading Community from Tango' [online]. Undated materials, [retrieved initially, in part, on 2005-03-07; then again in its entirety on 2005-04-28]. Retrieved from the Internet: <URL: <a href="http://www.collaborate.com/publication/newsletter/nl0603.html#portal">http://www.collaborate.com/publication/newsletter/nl0603.html#portal</a> >. See, e.g., pp. 24-25.
	AR	"StateMachines," copyright 1996-2002 BoldSoft, copyright 2002 Borland, <a href="http://information.borland.com/techpubs/delphi/boldfordelphi/html/Mech_StateMachines.htm">http://information.borland.com/techpubs/delphi/boldfordelphi/html/Mech_StateMachines.htm</a> , printed from the Internet September 5, 2003, 1 pg.
	AS	'TangoTrade.net - About' [online]. Tango, undated materials, [retrieved on 2005-03-16]. Retrieved from the Internet: <URL: <a href="http://www.tangotrade.net/about.html">http://www.tangotrade.net/about.html</a> >.
	AT	'Tango Trade 2.0: End-User Market for: EBAY P/N 370-2304' [online]. Tango, undated materials, [retrieved on 2005-03-07]. Retrieved from the Internet: <URL: <a href="http://rook.tangotrade.net/live/bidask.php?Item=370-2304&amp;ManuName=EBAY">http://rook.tangotrade.net/live/bidask.php?Item=370-2304&amp;ManuName=EBAY</a> >.
	AU	'Tango Trade 2.0: Sell an Item' [online]. Tango, undated materials, [retrieved on 2005-03-07]. Retrieved from the Internet: <URL: <a href="http://dns-1.wtic.net/sell.php?&amp;PHPAUCTION_SESSION=9182eb870619298ff76e18a31b0a7635">http://dns-1.wtic.net/sell.php?&amp;PHPAUCTION_SESSION=9182eb870619298ff76e18a31b0a7635</a> >.
↓	AV	"UML Tutorial - State Diagrams," Kennesaw State University, <a href="http://pigseye.kennesaw.edu/~dbraun/csis/4650/A&amp;D/UML_tutorial/state.htm">http://pigseye.kennesaw.edu/~dbraun/csis/4650/A&amp;D/UML_tutorial/state.htm</a> , printed from the Internet December 10, 2003, 4 pgs.
untf	AW	'Welcome to TangoTrade.net' [online]. Tango, undated materials, [retrieved on 2005-03-07]. Retrieved from the Internet: <URL: <a href="http://www.tangotrade.net/index.html">http://www.tangotrade.net/index.html</a> >.

Examiner Signature <i>Wen-Jian L.</i>	Date Considered 7/2/07
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		Filing Date <b>August 1, 2003</b>	Group Art Unit <b>2142</b>

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
<i>mtl</i>	AA	US-5842216	11/24/1998	Anderson et al.			
<i>mtl</i>	AB	US-5864837	01/26/1999	Maimone et al.			

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
<i>mtl</i>	AC	EP 0 926 608 A	06/30/1999	EPO				

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document

Examiner Signature <i>Alan Jan L</i>	Date Considered <b>7/2/07</b>
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	Applicant <b>Frank Michael Kraft</b>		Filing Date <b>August 1, 2003</b>

**U.S. Patent Documents**

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
<i>cutl</i>	AA	US-4694422 A	09/15/1987	Kakuda et al.			
<i>cutl</i>	AB	US-4777595 A	10/11/1988	Strecker et al.			
<i>cutl</i>	AC	US-5655075 A	08/05/1997	Saito et al.			
<i>cutl</i>	AD	US-6529932 B1	03/04/2003	Dadiomov et al.			

**Foreign Patent Documents or Published Foreign Patent Applications**

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
<i>cutl</i>	AE	EP 0 684 720 A	11/29/1995	EPO				

**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
<i>cutl</i>	AF	Haase, Kim, "Java Message Service API Tutorial," Sun Developer Network Site, August 2001, retrieved from the Internet on January 7, 2004, at <a href="http://java.sun.com/products/jms/docs.htm">http://java.sun.com/products/jms/docs.htm</a> , XP002266306, 270 pgs.
<i>cutl</i>	AG	Johnson, David B, et al., "Sender-Based Message Logging," 7 <sup>th</sup> Symposium on Fault-Tolerant Computing, 1987, retrieved from the Internet on January 8, 2004, at <a href="http://citeseer.nj.necassary.com/johnson87senderbased.html">http://citeseer.nj.necassary.com/johnson87senderbased.html</a> , XP002266307, 6 pgs.
<i>cutl</i>	AH	Kakuda, Yoshiaki, et al., "Automated Verification of Responsive Protocols Modeled by Extended Finite State Machines," Real-Time Systems, Kluwer Academic Publishers, Dordrecht, NL, vol. 7, no. 3, November 1, 1994, XP 000485229, pp. 275-289
<i>cutl</i>	AI	Yuang, Maria C., "Survey of Protocol Verification Techniques Based on Finite State Machine Models," April 11, 1988, Proceedings of the IEEE NBS Computer Networking Symposium, Washington, DC, XP010011847, pp. 164-172
	AJ	

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